October 2017

THE OFFICE OF THE VICE PRESIDENT FOR RESEARCH

PUBLICATION SUPPORT – THE PUBLICATION SUBVENTION GRANTS PROGRAM

1. Background and Purpose of the Program

After the sale of the ISU Press, an endowment was created to support publication efforts on the ISU campus. Specifically, grants from this program are used to help assure publication, in the appropriate medium, of meritorious scholarly writings and other worthy original works by Iowa State University faculty and staff, and works by others on topics of Iowa or regional interest.

Publications can use any appropriate medium, such as books, CDs, DVDs, or the web. The funds are intended to help support the publication of scholarly works; they are not intended to support the research, compilation, or actual writing that goes into a scholarly work. Furthermore, excluded from support are projects like marketing publications, high-volume printed text books, the translation of an existing project, or the rewriting of existing projects for a new medium, such as posting printed publications on the web.

The university has delegated to the Vice President for Research the responsibility of distributing funds for meritorious works based upon the recommendations of a Publication Endowment Committee. This committee is comprised of five faculty members selected from a list of faculty members recommended by the Faculty Senate.

Starting in 2017, the Publication Subvention Grants Program will have two parts:
(A) Publications intended for high-quality open access journals.
(B) All other publications.

Part (A) is supported in part by generous funding from the Iowa State University Library. Section 4 below explains the applications process for both parts.

2. Financials

Approximately $100,000 per year will be available in this program. Funds that are awarded for specific projects will be available for 36 months after the announcement of the award, and will revert to the Publication Subvention Grant pool after that time period if not spent; extensions may be requested by petition to the Vice President for Research.

For part (A), i.e., for publications intended for open access journals that are of sufficient quality to be considered as high-quality, peer-reviewed publications for promotion and tenure, this program will support one half of the publication cost, up to $1,250.

For Part (B), the award amount is variable, recently in the range of $1,000 - $10,000 for publication of print or digital media. In each year, funds available for part (B) will be equal to at least the annual income from the Publication Endowment that created this program. An important intent of these grants is to support low-volume scholarly projects that are not expected to be commercially viable without assistance. However, if royalties are received, the payback mechanism described below will go into effect.

In cases where there is a net return on subsidized publications, 50% of the subvention shall be repaid to the Office of the Provost at Iowa State in the following way: As royalties become due, 50% will be repaid to Iowa State and 50% remain with the author(s), until a total of 50% of the subvention has been repaid. All subsequent royalty payments remain with the author(s).
3. **Eligibility**

Any full-time faculty member or any P&S staff member at the rank of P37 or above may apply for this award through his/her academic unit. Anyone outside of the university may apply to this program by working directly with the Office of the Vice President for Research.

4. **Applications**

The application process differs slightly depending on the publication outlet for the scholarly work:

A. Publications intended for open access journals,
B. All other publication projects.

4A. **Publications intended for open access journals**

Provide the following 6 items in one PDF document and upload them at the link below:

1. Cover page with title of project, names/departments of authors/co-authors, and amount of funding requested.
2. A short summary of the manuscript.
3. Evidence that the manuscript has been accepted by the journal.
4. Evidence of publication cost for the selected journal.
5. A curriculum vitae for the authors and co-authors (not to exceed two pages).
6. A statement of the chair/director of the academic unit of the (main) author explaining the potential impact of the manuscript, and the quality of the selected journal in the context of promotion and tenure and faculty recognition/evaluation (providing evidence whenever possible and not to exceed one page).

Please submit complete applications electronically to the link below. Applications are accepted on an ongoing basis. Funding decisions will be made by the Office of the Vice President for Research within 4 weeks of submission of an application.

**SUBMIT HERE**

Your request should meet the following guidelines:

- Publications must be peer-reviewed, and allow free and immediate access to all the content upon publication.
- Requests for support need to be submitted before the actual publication date; post-factum requests will not be considered. Authors are asked to withdraw their application as soon as the publication has been posted.
- Support will be approved for publication costs only, not for repository costs or other publisher-provided services that require a fee.
- Articles submitted to journals with a hybrid model (some content by fee and some content open access) are not eligible for this fund.
- Publisher-imposed moratorium time intervals will not be permitted.
- Authors should use grant funding as the first source for support of publications in open access journals, since there are limited institutional funds for this program.

If this program receives more requests than can be funded from available resources, first-time applicants may receive preference.
4B. All other publication projects

Grant applications should include the following information:

1. Cover page with title of project, names/departments of authors/co-authors, and amount of funding requested.
2. Summary that includes a description of the need and of the scholarly work. Please include a copy of the table of contents or outline of the publication. Funding through Subvention Grants must be focused on publication-related costs; the program will not cover expenses related to project development or manuscript.
3. Evidence of a contractual agreement with a publisher is required. Please include a copy of the letter of request/intent and the subsequent contract from the prospective publishing company.
4. An itemized Budget and a Budget Justification are required (see the format provided below). The budget may include expenditures related to photocopying, new illustrations, fees for the use of images, indexing, maps for books, graduate student/hourly support, wire transfer fees, etc. Travel costs are allowable if necessary for collaborative publication purposes, either with the publisher or other co-authors. Release time is not allowable. For cases in which a faculty member shares co-authorship or co-editor status with another individual based outside of Iowa State, it is expected that the anticipated costs associated with publishing will be shared. The budget justification should, for each item listed in the budget, include a complete description of the item, clearly state who does the work, if appropriate, and discuss the source or method used to obtain the estimated cost. Do not use this section to defend the project-based rationale for the expense, as this information should already have appeared in Section 2 (Summary).

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5. Payback must be clearly stated (see above statement about net return on subsidized publications). If there is no expectation of a net return, please provide a statement.
6. A curriculum vitae for the authors and co-authors (not to exceed two pages).

Please submit applications electronically (in PDF format) to the link below. Applications are accepted on an ongoing basis. Funding decisions based on the recommendations of the Publication Endowment Committee will be made within 4 weeks of submission of an application.

SUBMIT HERE

If you have any questions about this program, please contact vprfunding@iastate.edu or publication subvention program liaison Jim Reecy, Office of the Vice President for Research, jreecy@iastate.edu.